



01-2,2-02

1732

JC07 Rec'd PCT/PTO 17 JAN 2002

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of : Method For Introducing Additives  
Inventor : Georg Michael Ickinger  
Serial No. : 09/936,039  
Filed : September 8, 2001  
Int'l Application No. : PCT/AT 01/00003  
Our Docket : X-1139

RECEIVED  
MAR 19 2002  
TC 1700

720 Hanna Bldg.  
1422 Euclid Avenue  
Cleveland, Ohio 44115

Assistant Commissioner for Patents  
Box PCT  
Washington, D.C. 20231

EXPRESS MAIL CERTIFICATE

Express Mail label number: EU 158 360 932 US  
Date of Deposit: January 16, 2002

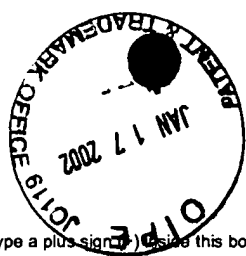
I hereby certify that the following attached papers are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10, on the date above and is addressed to Assistant Commissioner for Patents, Box PCT, Washington, D.C. 20231.

- 1) Second Preliminary Amendment
- 2) Request for Filing Receipt
- 3) Transmittal Form
- 4) Transmittal Letter
- 5) Information Disclosure Statement
- 6) Information PTO Form 1449
- 7) Prior Art & Related Documents (23 patents, 2 documents)
- 8) Check in the amount of \$18.00
- 9) Return Receipt Postcard

Susan W. Barrett

Typed or Printed name of person mailing paper or fee

Susan W. Barrett  
Signature of Person mailing paper or fee



Please type a plus sign (+) inside this box → ☐

PTO/SB/21 (12-97)  
Approved for use through 9/30/00. OMB 0651-0031  
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

<b>TRANSMITTAL FORM</b> (to be used for all correspondence after initial filing)	Application Number	09/936,039	
	Filing Date	September 8, 2001	
	First Named Inventor	Georg Michael Ickinger	
	Group Art Unit		
	Examiner Name		
Total Number of Pages in This Submission	25	Attorney Docket Number	X-1139

RECEIVED  
MAR 1 2002  
TC 1700

ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s) (Formal)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input checked="" type="checkbox"/> Amendment / Response (Second Preliminary)	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> To Convert a Provisional Application	<input checked="" type="checkbox"/> Request for Status Letter Filing Receipt
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	Amendment Transmittal Letter, Form 1449 (25 references) and Return Postcard
<input checked="" type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Small Entity Statement	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> Request for Refund	
<input type="checkbox"/> Response to Missing Parts/Incomplete Application	Remarks	
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	FRANK J. NAWALANIC, Reg. No. 26,491
Signature	
Date	January 17, 2002

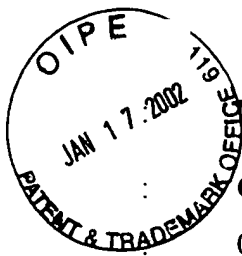
CERTIFICATE OF MAILING	
I hereby certify that this correspondence is being deposited with the United States Postal Service as Express Mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: JAN 17 2002	
Typed or printed name	Susan W. Barrett
Signature	
Date	January 17, 2002

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Express Mail No. EU158360932 US

SMALL ENTITY

Title



Method For Introducing Additives

Inventor(s)

Georg Michael Ickinger

Serial No.

09/936,039

Filed

September 8, 2001

Int'l Application No.

PCT/AT 01/00003

Our Docket

X-1139

Cleveland, Ohio 44115

**RECEIVED**  
MAR 10 2002  
TC 1700

Asst. Commissioner for Patents

Box PCT

Washington, D.C. 20231

TRANSMITTAL LETTER

Sir:

Transmitted herewith is a Second Preliminary Amendment in the above-identified application.

☐ No additional fee is required.

The fee has been calculated as shown below.

CLAIMS AS AMENDED						
(1)	(2)	(3)	(4)	(5)	(6)	(7)
	CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NO PREVIOUSLY PAID FOR	PRESENT EXTRA	RATE	ADDITIONAL FEE
TOTAL CLAIMS	* 46	MINUS	** 44	2	\$ 9	* \$ 18.00
INDEP CLAIMS	* 3	MINUS	** 7	0	\$42	\$ 0.00
TOTAL ADDITIONAL FEE FOR THIS AMENDMENT→						\$ 18.00

\* If the entry in Column 2 is less than the entry in Column 4, write "0" in Column 5

\*\* If the "Highest No. Previously Paid For" is less than 20 write "20".

\*\*\*If the "Highest No. Previously Paid For" is less than 3 write "3".

☒ A check in the amount of \$ 18.00 is attached.

☐ Charge \$ \_\_\_\_\_ to Deposit Account No. 14-0610. A duplicate copy of this sheet is enclosed.

☒ Please charge any additional fees or credit overpayment to Deposit Account No. 14-0610.

If there is any fee associated with filing the Amendment or if the Amendment is deemed late filed, you are requested to treat this letter as a Petition for Late Fee and you are authorized to charge any such fee to my Deposit Account No. 14-0610. A duplicate copy of this sheet is enclosed to facilitate such charge against such account.

Phone: (216) 696-8815

1422 EUCLID AVENUE  
720 HANNA BUILDING  
CLEVELAND, OH 44115

  
FRANK J. NAWALANIC

Reg. No. 26,491

Customer No. 22052

Express Mail N . EU158360932 US



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**RECEIVED**  
MAR 18 2002  
**TC 1700**

In re Application of : Georg Michael Ickinger  
For : Method for Introducing Additives  
Serial No. : 09/936,039  
Filing Date : September 8, 2001  
International Application No. : PCT/AT 01/00003  
Our Docket : X-1139

720 Hanna Building  
1422 Euclid Avenue  
Cleveland, Ohio 44115

**REQUEST FOR FILING RECEIPT**

Assistant Commissioner for Patents  
Box PCT  
Washington, D.C. 20231

Dear Sir:

Attached is a copy of our returned postcard for the filing of the subject application. In this packet of material, we have filed a Second Preliminary Amendment.

May we please have a Filing Receipt for the subject application. If any of the material has inadvertently been lost in the USPTO, please contact the undersigned.

720 Hanna Bldg.  
1422 Euclid Ave.  
Cleveland, OH 44115  
Tel. 216. 696-8815  
Fax 216.696.8817

Respectfully submitted,

BY:

FRANK J. NAWALANIC  
Reg. No. 26,491  
Customer No. 22052

**Express Mail No. EU158360932 US**

Due Date September 10, 2001 , Date Sept. 8, 2001 No. \_\_\_\_\_

☒ Application Pat. ☒ T.M. ☐  
☒ Spec Pages 45 Claims 44 (Substitute)  
☒ Drawing Sheets 20 (☒ Formal)  
☒ Declaration  
☐ Assignment w/Letter  
☒ Transmittal Letter (2 copies) + PTO 1340 for NAT. 371 stage (2 copies)  
☒ Check Amt. \$993.00  
☒ Amendment Pat ☒ T.M. ☐ (PRELIMINARY)  
☐ Appeal with Check \$ \_\_\_\_\_  
☐ Appeal Brief Pages \_\_\_\_\_, with check \$ \_\_\_\_\_  
☐ PTO 1449 ☐ References  
☒ Others Power of Atty; ENGLISH TRANSLATION + CERTIFICATE;  
WO 01/51267 A2;  
424 Filed PCT/PTO 08 SEP 2001  
Serial No. PCT/AT 01/00003 Filed \_\_\_\_\_ Docket No. X-1139  
PAT/T.M. No. \_\_\_\_\_ Issued/Reg. \_\_\_\_\_

09/936039